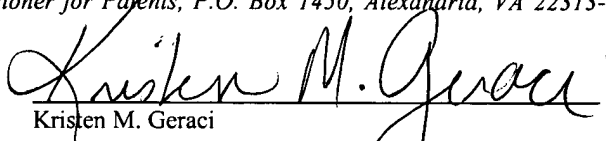


PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 30, 2003.


Kristen M. Geraci

Applicant : James P. McGuire, Jr.
Application No. : 10/625,970
Filed : July 23, 2003
Title : EAST-WEST SEPARABLE ROADM
Grp./Div. : To be assigned
Examiner : To be assigned
Docket No. : 50518/DJS/O195

INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Post Office Box 7068
Pasadena, CA 91109-7068
December 30, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Since this application was filed after June 30, 2003, copies of the U.S. references are not enclosed. However, copies of the listed foreign references and non-patent documents are enclosed. Also enclosed is a copy of the International Search Report dated August 28, 2002, for International Application. No. PCT/US02/09822. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b) as Applicants do not believe a first Office action on the merits has yet been mailed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

By 
David L. Steele
Reg. No. 47,317
626/795-9900

DJS/kmg
Enclosures: PTO/SB/08A/B, w/references
KMG IRV1072972.1-*12/30/03 3:34 PM

**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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Applicant(s)	James P. McGuire, Jr.
Group Art Unit	To be assigned
Examiner Name	To be assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,244,045	01-06-1981	Nosu et al.
		4,482,994	11-13-1984	Ishikawa
		4,768,849	09-06-1988	Hicks, Jr.
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		US 6,407,839 B1	06-18-2002	Cao
		US 6,498,872 B2	12-24-2002	Boueitch et al.

EXAMINER
SIGNATURE

DATE
CONSIDERED

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JAN 02 2004

 FORM PTO/SB-08A/B (10-01)
 Submit to PTO-1449A/B

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		US 6,501,877 B1	12-31-2002	Weverka et al.
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)
		EP 1298467 A1	04-02-2003	Danagher et al.	
		WO 01/07947 A1	02-01-2001	Boord et al.	
		WO 01/37021 A1	05-25-2001	Waverka et al.	
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		WO 02/25358 A2	03-28-2002	Smith et al.	
		WO 02/079832 A1	10-10-2002	McGuire, Jr.	

EXAMINER SIGNATURE	DATE CONSIDERED
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Supplement to PTO/O-149A/B

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July 23, 2003

Applicant(s)

James P. McGuire, Jr.

Group Art Unit

To be assigned

Examiner Name

To be assigned

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		SCOBEY et al., Hybrid thin film WDM and optical switch devices for optical add/drop, OCLI Telecommunications Division, 3 pp.

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